



ATTORNEY DOCKET NO: C01104/70091

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick M. Morgan et al.  
Serial No: 10/045,629  
Confirmation No: 3677  
Filed: October 25, 2001  
For: METHODS AND APPARATUS FOR CONTROLLING  
ILLUMINATION  
  
Examiner: Haissa Philogene  
Art Unit: 2821

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to, Mail Stop: 313 (c), Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 8<sup>th</sup> day of December, 2004.

  
\_\_\_\_\_  
Jeanne W. Chub

---

**Mail Stop: 313 (c)**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Fredrick M. Morgan et al., Applicants*

By: 

Joseph Teja, Jr., Registration No. 45,157  
LOWRIE, LANDO & ANASTASI, LLP  
Riverfront Office Park  
One Main Street  
Cambridge, Massachusetts 02142  
Tel. (617) 395-7000

Date: December 8, 2004



FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/045,629	ATTY. DOCKET NO.: C01104/70091
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: October 25, 2001	CONFIRMATION NO.: 3677
		APPLICANT: Frederick M. Morgan, et al.	
		GROUP ART UNIT: 2821	EXAMINER: Haissa Philogene
Sheet	1	of	4

# U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		US2002/0078221	A1	Blackwell et al.	06/20/2002
		US2002/0171377	A1	Mueller et al.	11/21/2002
		US2002/0038157	A1	Dowling et al.	03/28/2002
		US2002/0145394	A1	Morgan et al.	10/10/2002
		US2002/0048169	A1	Dowling et al.	04/25/2002
		US2002/0070688	A1	Dowling et al.	06/13/2002
		US2002/0145869	A1	Dowling	10/10/2002
		US2002/0176259	A1	Ducharme	11/28/2002
		US2003/0076281	A1	Morgan et al.	04/24/2003
		US2003/0011538	A1	Lys et al.	01/16/2003
		US2002/0047569	A1	Dowling et al.	04/25/2002
		US2002/0044066	A1	Dowling et al.	04/18/2002
		US2002/0074559	A1	Dowling et al.	06/20/2002
		US2002/0057061	A1	Mueller et al.	05/16/2002
		US2003/0057884	A1	Dowling et al.	03/27/2003
		US2002/0153851	A1	Dowling et al.	10/24/2002
		US2002/0130627	A1	Dowling et al.	09/19/2002
		US2002/0163316	A1	Dowling et al.	11/07/2002
		US2002/0171378	A1	Morgan et al.	11/21/2002
		US2002/0171365	A1	Morgan et al.	11/21/2002
		US2002/0158583	A1	Lys et al.	10/31/2002
		US2002/0101197	A1	Lys et al.	08/01/2002
		US2002/0152045	A1	Dowling et al.	10/17/2002
		US2003/0057886	A1	Lys et al.	03/27/2003
		US2002/0195975	A1	Dowling et al.	12/26/2002
		US2003/0028260	A1	Blackwell	02/06/2003
		US2003/0057887	A1	Dowling et al.	03/27/2003
		US2003/0057890	A1	Lys et al.	03/27/2003
		US2003/0133292	A1	Mueller et al.	07/17/2003
		US2003/0137258	A1	Piepgas et al.	07/24/2003
		US2003/0100837	A1	Lys et al.	05/29/2003
		US2003/0133292	A1	Mueller et al.	07/17/2003
		US2003/0222587	A1	Dowling et al.	12/04/2003
		US2004/0032226	A1	Lys	02/19/2004
		US2004/0036006	A1	Dowling	02/26/2004
		US2004/0052076	A1	Mueller et al.	03/18/2004
		US2004/0066652	A1	Hong	04/08/2004 (abstract)



FORM PTO-1449/A and B (Modified)

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Sheet 2 of 4

APPLICATION NO.: 10/045,629

ATTY. DOCKET NO.: C01104/70091

FILING DATE: October 25, 2001

CONFIRMATION NO.: 3677

APPLICANT: Frederick M. Morgan, et al.

GROUP ART UNIT: 2821

EXAMINER: Haissa Philogene

	US2004/0090787	A1	Dowling et al.	05/13/2004
	US2004/0105261	A1	Ducharme et al.	06/03/2004
	US2004/0130909	A1	Mueller et al.	07/08/2004
	2003/0198061	A1	Chambers et al.	10/23/2003
	2003/0107887	A1	Eberl	06-12-2003
	2,644,912		Williams	07-07-1953
	3,111,057		Cramer	11-19-1963
	3,163,077		Shank	12-29-1964
	3,205,755		Sklar	09-14-1965
	3,215,022		Orgo	11-02-1965
	3,240,099		Irons	03-15-1966
	3,241,419		Gracey	03-22-1966
	3,307,443		Shallenberger	03-07-1967
	3,540,343		Rifkin	11-17-1970
	3,550,497		Marsh	12-29-1970
	3,595,991		Diller	07-27-1971
	3,706,914		Van Buren	12-19-1972
	3,760,174		Boenning, et al.	09-18-1973
	3,845,468		Smith	10-29-1974
	4,095,139		Symonds et al.	06-13-1978
	4,176,581		Stuyvenberg	12-04-1979
	4,394,600		Flannagan	07-19-1983
	4,768,086		Paist	08-30-1988
	4,857,801		Farrell	08-15-1989
	5,143,442		Ishikawa et al.	09-01-1992
	5,365,084		Cochran et al.	11/15/1994
	5,386,351		Tabor	01-31-1995
	5,475,368		Collins	12-12-1995
	5,541,817		Hung	07-30-1996
	5,655,830		Ruskouski	08/12/1997
	5,684,309		McIntosh et al.	11-04-1997
	5,726,535		Yan	03/10/1998
	5,730,013		Huang	03-24-1998
	5,838,247		Bladowski	11-17-1998
	5,893,631		Padden	04-13-1999
	5,894,196		McDermott	04-13-1999
	5,895,986		Walters et al.	04-20-1999
	5,902,166		Robb	05-11-1999



FORM PTO-1449/A and B (Modified)

APPLICATION NO.: 10/045,629

ATTY. DOCKET NO.: C01104/70091

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

FILING DATE: October 25, 2001

CONFIRMATION NO.: 3677

APPLICANT: Frederick M. Morgan, et al.

GROUP ART UNIT: 2821

EXAMINER: Haissa Philogene

Sheet 3 of 4

		5,961,201		Gismondi	10-05-1999
		6,002,216		Mateescu	12/14/1999
		6,149,283		Conway et al.	11/21/2000
		6,150,771		Perry	11-21-2000
		6,175,342	B1	Nicholson et al.	01/16/2001
		6,188,181	B1	Sinha et al.	02-13-2001
		6,190,018		Parsons et al.	02-20-2001
		6,273,589	B1	Weber et al.	08/14/2001
		6,283,612		Hunter	09-04-2001
		6,329,764	B1	Van de Ven	12-11-2001
		6,330,111	B1	Myers	12-11-2001
		6,331,915		Myers	12-18-2001
		6,340,868	B1	Lys, et al.	01/22/2002
		6,379,025		Mateescu et al.	04/30/2002
		6,504,301	B1	Lowery	01/07/2003
		6,528,954	B1	Lys et al.	03/04/2003
		6,548,967	B1	Dowling et al.	04/15/2003
		6,550,952		Hulse et al.	04/22/2003
		6,551,282		Exline et al.	04/22/2003
		6,568,834		Scianna	05-27-2003
		6,568,834	B1	Scianna	05-27-2003
		6,577,080		Lys et al.	06/10/2003
		6,577,287	B2	Havel	06/10/2003
		6,592,238	B2	Cleaver et al.	07/15/2003
		6,608,453	B2	Morgan et al.	08/19/2003
		6,624,597	B2	Dowling et al.	09/23/2003
		6,717,376	B2	Lys et al.	04/06/2004
		6,720,745	B2	Mueller et al	04/13/2004
		6,726,350	B1	Herold	04/27/2004
		6,774,584	B2	Morgan et al.	08/10/2004
		6,811,286		Mateescu et al.	11/02/2004



FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/045,629	ATTY. DOCKET NO.: C01104/70091
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		FILING DATE: October 25, 2001	CONFIRMATION NO.: 3677
		APPLICANT: Frederick M. Morgan, et al.	
		GROUP ART UNIT: 2821	EXAMINER: Haissa Philogene
Sheet	4	of	4

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		WO	81/00637	A1	Louez	03-05-1981	Y
		WO	81/01602	A1	Ingord Limited	06-11-1981	Y
		WO	86/05409	A1	Paist	09-25-1986	Y
		WO	99/30537	A1	Proquip Special Projects Limited	06-17-1999	Y
		WO	01/73818	A1	Hong	10-04-2001	Y
		DD	205307				Y
		DE	19651140	A1			Y
		DE	29607270	U1			Y
		EP	0029474	B1	Ingord Limited	03-06-1985	Y

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		iLIGHT TECHNOLOGIES, "Explore the iLight Possibilities", <a href="http://www.ilight-tech.com">http://www.ilight-tech.com</a> , 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color", <a href="http://www.ilight-tech.com/products.htm">http://www.ilight-tech.com/products.htm</a> , 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color"/products_white.htm, 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color"/products_color.htm, 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color"/products_signs.htm, 9/7/04, 1 page.	

EXAMINER	DATE CONSIDERED
----------	-----------------

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.